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C960 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Attorney Docket No.: 2001\_0463A

First Named Inventor : Ichiro NAKAO et al.

Title: HANDWRITTEN CHARACTER RECOGNITION APPARATUS

Express Mail Label No.:

**APPLICATION ELEMENTS**

*See MPEP chapter 600 concerning utility patent application contents.*

1.  Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2.  Small Entity Status is hereby asserted.
3.  Specification  
*(preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Sequence Listing, a table, or a computer program listing appendix.
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- [X] Drawing(s) *(35 USC 113)* *(Total Sheets: 15)*
- [X] Oath or Declaration *(Total Pages : 3)*
  - a.1.  Newly executed (original or copy)
  - a.2.  Unexecuted
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
- Application Data Sheet (see 37 CFR 1.76)

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

7.  CD-ROM or CD-R in duplicate, large table or computer program *(Appendix)*
8.  Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Form
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  Paper
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement *(when there is an assignee)*
  - Power of Attorney
11.  English Translation Document *(if applicable)*
12.  Information Disclosure Statement (IDS)/PTO-1449
  - Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other

1. COVER LETTER FOR APPLICATION FILED  
WITHOUT EXECUTED DECLARATION

2. CLAIM OF PRIORITY UNDER 35 USC 119

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No. \_\_\_\_\_

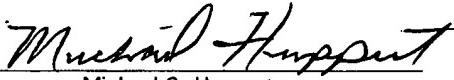
Prior Application Information: Examiner      Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

000513

PATENT TRADEMARK OFFICE

By:   
Michael S. Huppert  
Registration No. 40,268

WENDEROTH, LIND & PONACK, L.L.P.  
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April 19, 2001

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

J1033 U.S. PTO  
09/837293

04/19/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Ichiro NAKAO et al.

Serial No. NEW

: Attn: APPLICATION BRANCH

Filed April 19, 2001

: Attorney Docket No. 2001\_0463A

HANDWRITTEN CHARACTER RECOGNITION  
APPARATUS

**PATENT OFFICE FEE TRANSMITTAL FORM**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

THE COMMISSIONER IS AUTHORIZED  
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ACCOUNT NO. 23-0975.

Attached hereto is a check in the amount of \$790.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$710.00

Assignment for Recordal ..... \$

Additional Claims Fee:

Excess of Twenty ..... \$

Independent ..... \$80.00

Multiple Dependent Fee ..... \$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Ichiro NAKAO et al.

By Michael S. Huppert  
Michael S. Huppert  
Registration No. 40,268  
Attorney for Applicants

MSH/kjf

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April 19, 2001

[Check No. UN048]  
2001\_0463A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Ichiro NAKAO et al. : Attn: APPLICATION BRANCH

Serial No. NEW : Docket No. 2001\_0463A

Filed April 19, 2001

HANDWRITTEN CHARACTER RECOGNITION  
APPARATUS

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
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ACCOUNT NO. 23-0975.

**COVER LETTER FOR APPLICATION FILED**  
**WITHOUT EXECUTED DECLARATION**

Assistant Commissioner for Patents,

Washington, DC 20231

Sir:

The above-identified application has been submitted without an executed oath or declaration pursuant to 37 CFR 1.41(c).

It is respectfully requested that this application be assigned a serial number and awarded a filing date pursuant to 37 CFR 1.53.

A duly executed oath or declaration pursuant to 37 CFR 1.63 will be submitted after notification by the U.S. Patent and Trademark Office pursuant to 37 CFR 1.53(f).

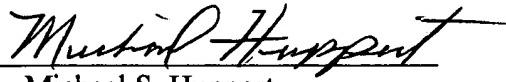
A non-executed copy of the Declaration and Power of Attorney, containing the inventorship information, is attached. It is respectfully requested that all communications be directed to the firm indicated on the attached Declaration and Power of Attorney, namely:

WENDEROTH, LIND & PONACK, L.L.P.  
2033 K Street, N.W., Suite 800  
Washington, D.C. 20006-1021

The required U.S. Patent and Trademark Office Filing Fee is submitted herewith.

Respectfully submitted,

Ichiro NAKAO et al.

By   
Michael S. Huppert  
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April 19, 2001

GPO:2001-O-19600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Ichiro NAKAO et al.

Serial No. NEW

: Attn: Application Branch

Filed April 19, 2001

: Attorney Docket No. 2001\_0463A

HANDWRITTEN CHARACTER  
RECOGNITION APPARATUS

**CLAIM OF PRIORITY UNDER 35 USC 119**

Assistant Commissioner for Patents,  
Washington, DC 20231

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

Sir:

Applicants in the above-entitled application hereby claim the date of priority under the International Convention of Japanese Patent Application No. 2000-118986, filed April 20, 2000, as acknowledged in the Declaration of this application.

A certified copy of said Japanese Patent Application is submitted herewith.

Respectfully submitted,

Ichiro NAKAO et al.

By Michael S. Huppert  
Michael S. Huppert  
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